

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**In re the Application of: **KITANO, Hisao, et al.****ATTN: PCT BRANCH**Serial No.: **10/555,384**Group Art Unit: **Not Yet Assigned**Filed: **November 3, 2005**P.T.O. Confirmation No.: **4633**For: **CHITIN OLIGOMER COMPOSITION AND/OR CHITOSAN OLIGOMER  
COMPOSITION AND PROCESSES FOR PREPARATION THEREOF****RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS**Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

January 16, 2007

Dear Sir:

In response to the Notification of Missing Requirements of Application dated **November 13, 2006**, enclosed for filing is an executed Declaration and Power of Attorney for the above-identified patent application.

Please note that the surcharge fee of **\$65.00** for filing the late Declaration was paid at the initial filing. The fees enclosed at initial filing on **November 3, 2005** were **\$1,065.00** (\$150.00 for basic filing fees, \$200.00 for the search fee, \$100.00 for the examination fee, \$125.00 for application size fee, \$225.00 for total claims, \$200.00 for independent claims and \$65.00 for filing the late Declaration). In the event that any additional fees are due with this paper, please charge Deposit Account No. 01-2340.

In the event that this response is not timely filed, the applicants hereby petition for an appropriate extension of time. The fees for any such extension may be charged to our Deposit Account No. 01-2340.

Respectfully submitted,

ARMSTRONG, KRATZ, QUINTOS,  
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James E. Armstrong, IV

Attorney for Applicants

Reg. No. 42,266

JAM/rmp  
Atty. Docket No. **050309**  
1725 K Street, N.W., Suite 1000  
Washington, D.C. 20006  
(202) 659-2930**23850**

PATENT TRADEMARK OFFICE

Enclosures: Notification; Declaration



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/555,384	Hisao Kitano	050309

INTERNATIONAL APPLICATION NO.	
PCT/JP04/06429	
I.A. FILING DATE	PRIORITY DATE
05/06/2004	05/07/2003

23850  
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NOV 14 2006

CONFIRMATION NO. 4633

371 FORMALITIES LETTER



\*OC000000021191287\*

Date Mailed: 11/13/2006

Due: JANUARY 13, 2007

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 11/03/2005
- English Translation of the IA filed on 11/03/2005
- Copy of the International Search Report filed on 11/03/2005
- Preliminary Amendments filed on 11/03/2005
- Information Disclosure Statements filed on 11/03/2005
- Small Entity Statement filed on 11/03/2005
- Request for Immediate Examination filed on 11/03/2005
- U.S. Basic National Fees filed on 11/03/2005
- Priority Documents filed on 11/03/2005
- Specification filed on 11/03/2005
- Claims filed on 11/03/2005
- Abstracts filed on 11/03/2005
- Drawings filed on 11/03/2005

PCT-National Phase

Priority 5-7-03

30 Months         

32 Months 1-7-06

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

DOCKETED	Inv. #
DATE	11/15/06

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.  
<https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at <http://www.uspto.gov/ebc>.

**If you are not using EFS-Web to submit your reply, you must include a copy of this notice.**

WINSTON M ALVARADO

Telephone: (703) 308-9140 EXT 206

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/555,384	PCT/JP04/06429	050309

FORM PCT/DO/EO/905 (371 Formalities Notice)